



Brussels, 1.6.2018  
COM(2018) 376 final

Recommendation for a

**COUNCIL DECISION**

**to authorise the Commission to open negotiations on behalf of the European Union to accede to the Convention for the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean**

## EXPLANATORY MEMORANDUM

### 1. CONTEXT OF THE PROPOSAL

#### • **Reasons for and objectives of the proposal**

Following a request from the EU Member States and a number of EU fishing operators, the Commission proposes that the EU accedes to the Convention for the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean ('the Convention') as a member of the North Pacific Fisheries Commission (NPFC), the body set up by the Convention to manage the area's fisheries.

EU accession to the Convention would accord with the wish to seek access to its resources, as expressed by EU Member States and the relevant Union fleet. This would also provide a firm basis for the sustainable management and conservation of marine biological resources and the marine environment managed by the NPFC. This is in line with Article 28 of Regulation (EU) No 1380/2013 on the Common Fisheries Policy of the European Parliament and of the Council<sup>1</sup> and with the Council Conclusions of 19 March 2012 on the Communication from the Commission 'External dimension of the Common Fisheries Policy'<sup>2</sup>.

The Convention does not provide for any negotiable rights or duties between the acceding contracting party and the NPFC. Accession to the Convention and membership or cooperating non-contracting Party (CNCP) status in the NPFC require full acceptance of the provisions in force at the time of accession.

#### • **Consistency with existing policy provisions in the policy area**

Regional Fisheries Management Organisations (RFMOs) are international organisations of countries, some of which are coastal states; regional integration organisations, such as the EU; and fishing entities with fishing interests in a given area. Some RFMOs manage all the fish stocks within a specific area, while others focus on particular highly migratory species, notably tuna, throughout vast geographical areas. While some are purely advisory, most have management powers to set catch and fishing effort limits, technical measures, and control obligations.

In line with the Commission communication 'Participation in regional fisheries organisations (RFOs)'<sup>3</sup> the European Union, represented by the Commission, plays an active role in 6 tuna organisations and 11 non-tuna organisations.

In accordance the Joint Communication by the High Representative of the EU for Foreign Affairs and Security Policy and the European Commission 'International ocean governance: an agenda for the future of oceans'<sup>4</sup> and the Council conclusions on that joint communication<sup>5</sup>, promoting measures to support and enhance the effectiveness of RFMOs and, where relevant, improve their governance is a central feature of the EU's action in these fora.

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<sup>1</sup> Regulation (EU) No 1380/2013 of the European Parliament and of the Council on the Common Fisheries Policy, amending Council Regulations (EC) No 1954/2003 and (EC) No 1224/2009 and repealing Council Regulations (EC) No 2371/2002 and (EC) No 639/2004 and Council decision 2004/585/EC (OJ L 354 of 28.12.2013, p.22).

<sup>2</sup> COM(2011) 424 of 13.7.2011.

<sup>3</sup> COM/99/0613 final.

<sup>4</sup> JOIN(2016) 49 final.

<sup>5</sup> 7348/1/17 REV 1.

- **Consistency with other Union policies**

Not applicable

## **2. LEGAL BASIS, SUBSIDIARITY AND PROPORTIONALITY**

- **Legal basis**

The current Recommendation for a Council Decision is based on the Treaty on the Functioning of the European Union, and in particular Article 218(3) and (4) thereof.

- **Subsidiarity (for non-exclusive competence)**

Not applicable.

- **Proportionality**

Not applicable.

- **Choice of instrument**

Under Article 218(3) and (4) of the Treaty on the Functioning of the European Union, the Commission submits recommendations to the Council, which adopts a decision authorising the opening of negotiations. The Council may address directives to the negotiator and designate a special committee to oversee the negotiations in accordance with the negotiating directives.

## **3. RESULTS OF EX-POST EVALUATIONS, STAKEHOLDER CONSULTATIONS AND IMPACT ASSESSMENTS**

- **Ex-post evaluations/fitness checks of existing legislation**

Not applicable.

- **Stakeholder consultations**

The Member States and a number of EU individual fishing operators asked the Commission to start accession negotiations at the end of 2017. The relevant organisations and interest groups were consulted in early 2018 to ensure that future negotiations the EU's possible accession to the North Pacific Fisheries Commission take their views into account. They included the representatives of the Member States' administrations, the EU fisheries industry and NGOs, as well as EU citizens and consumers. In the course of the consultations, the EU fisheries industry urged for effective steps taken by the EU institutions to secure the possibility for the EU fleet to commence fishing activities in the region of NPFC as of 1 July 2018. In their view, possibly the fastest way to achieve this is a two-step approach by which the EU becomes in first instance a cooperating non-contracting Party with the expressed ambition of becoming a full member of the NPFC soon afterwards. No other comments were received during the consultations.

- **Collection and use of expertise**

Not applicable.

- **Impact assessment**

Not applicable.

- **Regulatory fitness and simplification**

Not applicable.

- **Fundamental rights**

Not applicable.

#### **4. BUDGETARY IMPLICATIONS**

EU accession to the Convention will require the payment of a yearly financial contribution to the NPFC from budget line 11.03.02 (Compulsory contributions to RFMOs), estimated at EUR 90.000 annually. The EU contribution could increase, depending on the EU's future fishing records in the NPFC. The exact amount will be established in the relevant budget lines in the annual budget procedure.

Voluntary contributions to the organisation's budget under budget line 11.06.62.03, to promote scientific research, are also likely. These are estimated at about EUR 200.000/year. Budget line 11.01.04.01 also provides for technical assistance to support the provision of scientific advice at the NPFC meeting. The cost of this is estimated at EUR 10.000/year.

#### **5. OTHER ELEMENTS**

- **Implementation plans and monitoring, evaluation and reporting arrangements**

Not applicable.

- **Explanatory documents (for directives)**

Not applicable.

- **Detailed explanation of the specific provisions of the proposal**

Not applicable.

Recommendation for a

## COUNCIL DECISION

**to authorise the Commission to open negotiations on behalf of the European Union to accede to the Convention for the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 218(3) and (4) thereof,

Having regard to the recommendation from the European Commission,

Whereas:

- (1) The Union is competent to adopt measures for the conservation of marine biological resources under the common fisheries policy and to enter into agreements with third countries and international organisations.
- (2) Pursuant to Council Decision 98/392/EC<sup>6</sup>, the Union is a Contracting Party to the United Nations Convention on the Law of the Sea of 10 December 1982. That convention requires all members of the international community to cooperate in conserving and managing the biological resources of the sea.
- (3) Pursuant to Council Decision 98/414/EC<sup>7</sup>, the Union is a Contracting Party to the Agreement on the implementation of the provisions of the United Nations Convention on the Law of the Sea of 10 December 1982, relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks.
- (4) The EU Member States and fishing operators have expressed their interest to fish in the area of the Convention for the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean ('the Convention').
- (5) The Convention allows for the accession of Regional Economic Integration Organisations to the Convention. The North Pacific Fisheries Commission ('NPFC') is the body established to perform the functions foreseen by the Convention. Parties to the Convention participate in the decision-making process. As a general rule, the NPFC adopts its decisions by consensus.

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<sup>6</sup> Council Decision 98/392/EC of 23 March 1998 concerning the conclusion by the European Community of the United Nations Convention of 10 December 1982 on the Law of the Sea and the Agreement of 28 July 1994 relating to the implementation of Part XI thereof (OJ L 179, 23.6.1998, p. 1.).

<sup>7</sup> Council Decision 98/414/EC of 8 June 1998 on the ratification by the European Community of the Agreement for the implementing of the provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the conservation and management of straddling stocks and highly migratory fish stocks (OJ L 189, 3.7.1998, p. 14.).

- (6) Subject to the terms and conditions established by the North Pacific Fisheries Commission, a cooperating non-contracting Party ('CNCP') may participate in the fisheries subject to its commitment to comply with, and its record of compliance with, the NPFC conservation and management measures. CNCPs do not participate in the decision-making process but are obliged to cooperate fully with the NPFC and apply the conservation and management measures adopted by the Commission. CNCPs are encouraged to make a contribution to the budget of the NPFC.
- (7) It is appropriate to ensure the Union's conservation approach across the world's oceans and reinforce its commitment to the long-term conservation and sustainable use of fisheries resources globally. In accordance the Joint Communication by the High Representative of the EU for Foreign Affairs and Security Policy and the European Commission 'International ocean governance: an agenda for the future of oceans' and the Council conclusions on that joint communication, promoting measures to support and enhance the effectiveness of RFMOs and, where relevant, improve their governance is a central feature of the EU's action in these fora.
- (8) Negotiations should be opened with a view to the accession of the European Union to the Convention. Should it become apparent that it is impossible for the EU to accede to the Convention, or to do so within a reasonable timeframe, it is appropriate to negotiate the terms of participation of the EU as a CNCP.

HAS ADOPTED THIS DECISION:

*Article 1*

The Commission is hereby authorised to negotiate, on behalf of the European Union, with a view to accede to the Convention for the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean ('the Convention').

*Article 2*

If it appears during the negotiation for the accession to the Convention, that it is impossible for the EU to accede to the Convention or to do so within a reasonable timeframe, the Commission is hereby authorised to negotiate, on behalf of the European Union, the terms of participation of the EU as a CNCP to the North Pacific Fisheries Commission.

*Article 3*

These negotiations shall be conducted in consultation with the special committee designated by the Council and in accordance with the negotiating directives set out in the Annexes.

*Article 4*

This Decision is addressed to the Commission.

Done at Brussels,

*For the Council  
The President*

## LEGISLATIVE FINANCIAL STATEMENT

### **1. FRAMEWORK OF THE PROPOSAL/INITIATIVE**

- 1.1. Title of the proposal/initiative
- 1.2. Policy area(s) concerned in the ABM/ABB structure
- 1.3. Nature of the proposal/initiative
- 1.4. Objective(s)
- 1.5. Grounds for the proposal/initiative
- 1.6. Duration and financial impact
- 1.7. Management mode(s) planned

### **2. MANAGEMENT MEASURES**

- 2.1. Monitoring and reporting rules
- 2.2. Management and control system
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### **3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE**

- 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected
- 3.2. Estimated impact on expenditure
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  - 3.2.4. *Compatibility with the current multiannual financial framework*
  - 3.2.5. *Third-party contributions*
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## LEGISLATIVE FINANCIAL STATEMENT

### 1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

#### 1.1. Title of the proposal/initiative

Recommendation for a Council Decision to authorise the Commission to open negotiations on behalf of the European Union to accede to the Convention for the Conservation and Management of High Seas Fisheries Resources in the North Pacific Ocean

#### 1.2. Policy area(s) concerned in the ABM/ABB structure<sup>8</sup>

To promote ocean governance at international level

#### 1.3. Nature of the proposal/initiative

**X The proposal/initiative relates to a new action**

The proposal/initiative relates to **a new action following a pilot project/preparatory action**<sup>9</sup>

The proposal/initiative relates to **the extension of an existing action**

The proposal/initiative relates to **an action redirected towards a new action**

#### 1.4. Objective(s)

1.4.1. *The Commission's multiannual strategic objective(s) targeted by the proposal/initiative*

A stronger global actor

1.4.2. *Specific objective(s) and ABM/ABB activity(ies) concerned*

Specific objective

To promote ocean governance at international level

Specific objective No 3

Sustainable fisheries worldwide and improved international governance by 2020

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<sup>8</sup> ABM: activity-based management; ABB: activity-based budgeting.

<sup>9</sup> As referred to in Article 54(2)(a) or (b) of the Financial Regulation.



1.4.3. *Expected result(s) and impact*

*Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.*

The EU Member States and economic operators should have access to Convention Area managed by the North Pacific Fisheries Commission (NPFC).

It should further promote the Union's conservation approach across oceans and reinforce its commitment to the long-term conservation and sustainable use of fisheries resources globally.

1.4.4. *Indicators of results and impact*

*Specify the indicators for monitoring implementation of the proposal/initiative.*

Number of EU fishing vessels engaged in the fishery

Number of EU catches

Improved long-term sustainability of the stocks

Number of NPFC meetings attended by the EU

**1.5. Grounds for the proposal/initiative**

1.5.1. *Requirement(s) to be met in the short or long term*

EU operators in the Netherlands, Lithuania, Latvia, Poland and Germany are seeking to enlarge their fishing operations to the North Pacific in order to further consolidate themselves as global actors. Economies of scale will be promoted too as the EU fleet is already engaged in fishing operations further south in the neighbouring RFMO: the South Pacific Regional Fisheries Management Organisation (SPRFMO).

The participation of the EU in the works of the NPFC will also contribute to the long-term sustainability of the stocks and support the scientific research in line with the Common Fisheries Policy (CFP) external objectives.

1.5.2. *Added value of EU involvement Added value of Union involvement (it may result from different factors, e.g. coordination gains, legal certainty, greater effectiveness or complementarities). For the purposes of this point 'added value of Union involvement' is the value resulting from Union intervention which is additional to the value that would have been otherwise created by Member States alone.*

Reasons for action at European level (ex-ante)

The conservation of marine biological resources falls under the exclusive competence of the EU according to Article 3 (1d) of the Treaty on the Functioning of the European Union (TFEU).

Expected generated EU added value (ex-post)

In line with Article 28 of the CFP's 'basic regulation', the EU accession to the NPFC will have as its main purpose to:

(a) access the resources of the NPFC;

(b) actively support and contribute to the development of scientific knowledge and advice;

(c) improve policy coherence with the Union initiatives, with particular regard to environmental, trade and development activities and strengthen consistency of

actions taken in the context of development cooperation and scientific, technical and economic cooperation;

(d) contribute to sustainable fishing activities that are economically viable and promote employment within the Union;

(e) ensure that Union fishing activities outside Union waters are based on the same principles and standards as those applicable under Union law in the area of the CFP, while promoting a level-playing field for Union operators vis-à-vis third-country operators;

(f) promote and support, in all international spheres, action necessary to eradicate IUU-fishing;

(g) promote the establishment and the strengthening of a country-by-country compliance mechanism.

#### *1.5.3. Lessons learned from similar experiences in the past*

The Commission's actions at international and bilateral level are an important element of its priority to strengthen the role of the EU as a global actor. The EU positions in the RFMOs, to which the EU is a Party are all based on the best available scientific advice, on the standards and principles of the CFP and our international approach to ocean governance. However, positions of other contracting Parties do not always allow to gather full support. The Commission represents the EU at RFMOs meetings, so DG MARE has taken the lead in all those negotiations, consistently ensuring appropriate consultations with Member States and relevant stakeholders, to promote the objectives of the CFP globally.

#### *1.5.4. Compatibility and possible synergy with other appropriate instruments*

The proposal drives forward the global and multilateral agenda promoting sustainable fisheries worldwide to address crucial issues such as eradication of illegal, unreported and unregulated (IUU) fishing or reduction of overcapacity.

It better integrates the fisheries, development, environment, trade and other policies to further advance the objectives of sustainable and responsible governance.

**1.6. Duration and financial impact**

Proposal/initiative of **limited duration**

- Proposal/initiative in effect from [DD/MM]YYYY to [DD/MM]YYYY
- Financial impact from YYYY to YYYY

**X Proposal/initiative of unlimited duration**

- Implementation with a start-up period from 2018,
- followed by full-scale operation.

**1.7. Management mode(s) planned<sup>10</sup>**

**X Direct management by the Commission**

– **X by its departments, including by its staff in the Union delegations;**

–  by the executive agencies

**Shared management** with the Member States

**Indirect management** by entrusting budget implementation tasks to:

- third countries or the bodies they have designated;
- international organisations and their agencies (to be specified);
- the EIB and the European Investment Fund;
- bodies referred to in Articles 208 and 209 of the Financial Regulation;
- public law bodies;
- bodies governed by private law with a public service mission to the extent that they provide adequate financial guarantees;
- bodies governed by the private law of a Member State that are entrusted with the implementation of a public-private partnership and that provide adequate financial guarantees;
- persons entrusted with the implementation of specific actions in the CFSP pursuant to Title V of the TEU, and identified in the relevant basic act.
- *If more than one management mode is indicated, please provide details in the 'Comments' section.*

Comments

None

<sup>10</sup> Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: [http://www.cc.cec/budg/man/budgmanag/budgmanag\\_en.html](http://www.cc.cec/budg/man/budgmanag/budgmanag_en.html)

## **2. MANAGEMENT MEASURES**

### **2.1. Monitoring and reporting rules**

*Specify frequency and conditions.*

Each year, the NPFC's budget is adopted. In accordance with the NPFC procedures, the Commission will examine, verify and comment on the draft budget submitted by the NPFC Secretariat. The execution of the budget is also scrutinised every year by the contracting Parties. The budget is to be adopted by consensus.

Reporting on the implementation of the NPFC budget is also undertaken on annual basis by the NPFC Secretariat.

### **2.2. Management and control system**

#### *2.2.1. Risk(s) identified*

NPFC contracting Parties pay their contributions to the budget of the Organisation regularly and currently there are no arrears.

#### *2.2.2. Information concerning the internal control system set up*

The NPFC's financial situation is reviewed annually by the Finance and Administration Committee. There is an annual audit of the accounts against the approved commitments and expenditure.

#### *2.2.3. Estimate of the costs and benefits of the controls and assessment of the expected level of risk of error*

Limited costs. Peer review. Subsequent reviews.

### **2.3. Measures to prevent fraud and irregularities**

*Specify existing or envisaged prevention and protection measures.*

The annual NPFC budget and expenditure forecast are prepared by the NPFC Secretariat on annual basis and then reviewed and endorsed by the Finance and Administration Committee who issues a recommendation to the NPFC on its endorsement. There is an annual audit of the accounts against the approved commitments and expenditure.

### 3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

#### 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing budget lines

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number	Diff./Non-diff. <sup>11</sup>	from EFTA countries <sup>12</sup>	from candidate countries <sup>13</sup>	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
	11 03 02: Compulsory Contributions to International Bodies (2)	Diff.	NO	NO	NO	NO
	11 01 04 01 : Non-operational administrative and technical assistance (2)	Non-diff.	NO	NO	NO	NO
	11 01 02 11: Other management expenditure (5)	Non-diff.	NO	NO	NO	NO
	11.06.62.03: Voluntary Contributions to International Organisations (2)	Diff.	NO	NO	NO	NO

- New budget lines requested

In order of multiannual financial framework headings and budget lines.

Heading of multiannual financial framework	Budget line	Type of expenditure	Contribution			
	Number [Heading..... ...]	Diff./Non-diff.	from EFTA countries	from candidate countries	from third countries	within the meaning of Article 21(2)(b) of the Financial Regulation
	[XX.YY.YY.YY]		YES/NO	YES/NO	YES/NO	YES/NO

<sup>11</sup> Diff. = Differentiated appropriations / Non-diff. = Non-differentiated appropriations.

<sup>12</sup> EFTA: European Free Trade Association.

<sup>13</sup> Candidate countries and, where applicable, potential candidates from the Western Balkans.

### 3.2. Estimated impact on expenditure

[This section should be filled in using the [spreadsheet on budget data of an administrative nature](#) (second document in annex to this financial statement) and uploaded to CISNET for interservice consultation purposes.]

The annual expenditure will depend on the contribution the EU must make to the Organisation's budget decided at the Annual Meeting of the NPFC. It is currently estimated at maximum EUR 90.000 but it might increase depending on the annual fishing activity of the EU fleet.

#### 3.2.1. Summary of estimated impact on expenditure

EUR million (to three decimal places)

Heading of multiannual financial framework		2	Preservation and management of natural resources						
DG MARE			Year 2018	Year 2019	Year 2020	Year 2021	Year 2022	Year 2023	TOTAL
• Operational appropriations									
11.03.02	Commitments	(1)	0.090	0.090	0.090	0.090	0.090	0.090	<b>0.540</b>
	Payments	(2)	0.090	0.090	0.090	0.090	0.090	0.090	<b>0.540</b>
11.06.62.03	Commitments	(1a)	0.200	0.200	0.200	0.200	0.200	0.200	<b>1.200</b>
	Payments	(2a)	0.200	0.200	0.200	0.200	0.200	0.200	<b>1.200</b>
Appropriations of an administrative nature financed from the envelope of specific programmes <sup>14</sup>									
Number of budget line 11.01.04.01		(3)	0.010	0.010	0.010	0.010	0.010	0.010	<b>0.060</b>
<b>TOTAL appropriations for DG MARE</b>	Commitments	=1+1a +3	0.300	0.300	0.300	0.300	0.300	0.300	<b>1.800</b>
	Payments	=2+2a +3	0.300	0.300	0.300	0.300	0.300	0.300	<b>1.800</b>

<sup>14</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

• TOTAL operational appropriations	Commitments	(4)								
	Payments	(5)								
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes		(6)								
<b>TOTAL appropriations under HEADING &lt;...&gt; of the multiannual financial framework</b>	Commitments	=4+ 6								
	Payments	=5+ 6								

**If more than one heading is affected by the proposal / initiative:**

• TOTAL operational appropriations	Commitments	(4)								
	Payments	(5)								
• TOTAL appropriations of an administrative nature financed from the envelope for specific programmes		(6)								
<b>TOTAL appropriations under HEADINGS 1 to 4 of the multiannual financial framework (Reference amount)</b>	Commitments	=4+ 6								
	Payments	=5+ 6								

<b>Heading of multiannual financial framework</b>	<b>5</b>	‘Administrative expenditure’
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EUR million (to three decimal places)

Year 2018	Year 2019	Year 2020	Year 2021	Year 2022	Year 2023	<b>TOTAL</b>
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<b>DG MARE</b>							
• Human resources							
• Other administrative expenditure							
<b>TOTAL DG MARE</b>	Appropriations						

<b>TOTAL appropriations under HEADING 5 of the multiannual financial framework</b>	(Total commitments = Total payments)						
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EUR million (to three decimal places)

		Year 2018	Year 2019	Year 2020	Year 2021	Year 2022	Year 2023	<b>TOTAL</b>
<b>TOTAL appropriations under HEADINGS 1 to 5 of the multiannual financial framework</b>	Commitments							
	Payments							



3.2.2. *Estimated impact on operational appropriations*

- The proposal/initiative does not require the use of operational appropriations
- The proposal/initiative requires the use of operational appropriations, as explained below:

Commitment appropriations in EUR million (to three decimal places)

Indicate objectives and outputs ↓			Year 2018		Year 2019		Year 2020		Year 2021		Year 2022		Year 2023		TOTAL	
	Type <sup>15</sup>	Average cost	No	Cost	No	Cost	No	Cost	No	Cost	No	Cost	No	Cost	Total No	Total cost
SPECIFIC OBJECTIVE No 3: Sustainable fisheries worldwide and improved international governance by 2020																
- Output																
- Output																
- Output																
Subtotal for specific objective No 1			1	0.3	1	0.3	1	0.3	1	0.3	1	0.3	1	0.3	1	1.8
SPECIFIC OBJECTIVE No 2 ...																
- Output																
Subtotal for specific objective No 2																
<b>TOTAL COST</b>			1	0.3	1	0.3	1	0.3	1	0.3	1	0.3	1	0.3	1	1.8

<sup>15</sup>

Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.).

### 3.2.3. Estimated impact on appropriations of an administrative nature

#### 3.2.3.1. Summary

- The proposal/initiative does not require the use of appropriations of an administrative nature
- The proposal/initiative requires the use of appropriations of an administrative nature, as explained below:

EUR million (to three decimal places)

	Year 2018	Year 2019	Year 2020	Year 2021	Year 2022	Year 2023	TOTAL
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<b>HEADING 5 of the multiannual financial framework</b>							
Human resources	0.143	0.143	0.143	0.143	0.143	0.143	<b>0.858</b>
Other administrative expenditure							
<b>Subtotal HEADING 5 of the multiannual financial framework</b>							

<b>Outside HEADING 5<sup>16</sup> of the multiannual financial framework</b>							
Human resources							
Other expenditure of an administrative nature							
<b>Subtotal outside HEADING 5 of the multiannual financial framework</b>							

<b>TOTAL</b>							
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The appropriations required for human resources and other expenditure of an administrative nature will be met by appropriations from the DG that are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

<sup>16</sup> Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

### 3.2.3.2. Estimated requirements of human resources

- The proposal/initiative does not require the use of human resources.
- The proposal/initiative requires the use of human resources, as explained below:

*Estimate to be expressed in full time equivalent units*

•	Year 2018	Year 2019	Year 2020	Year 2021	Year 2022	Year 2023	
<b>• Establishment plan posts (officials and temporary staff)</b>							
• XX 01 01 01 (Headquarters and Commission's Representation Offices)							
• 01 01 02 (Delegations)							
• 01 05 01 (Indirect research)							
• 10 01 05 01 (Direct research)							
• 01 02 02 (AC, AL, END, INT and JED in the delegations)							
• 01 04 yy <sup>17</sup> •	• - at Headquarters	•					
	• - in Delegations	•					
• 01 05 02 (AC, END, INT - Indirect research)							
• 10 01 05 02 (AC, END, INT - Direct research)							
• Other budget lines (specify)							
• <b>1.50 TOTAL</b>							

**XX** is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

Officials and temporary staff	<p>To contribute towards the sustainable management and conservation of marine biological resources in international waters and enhanced performance of the NPFC in line with the objectives of the CFP and its external dimension and DG MARE's priorities.</p> <p>To promote the principles and standards of the CFP for the conservation, control and enforcement measures in the NPFC and in that context develop the negotiating strategies for achieving the EU's objectives.</p> <p>To carry out analysis and develop conservation and management measures on fisheries in RFMOs while ensuring policy coordination in the implementation of the CFP.</p> <p>To engage in a regular dialogue with stakeholders and maintain constructive relations with the other Institutions and international bodies.</p>
External staff	

<sup>17</sup> Sub-ceiling for external staff covered by operational appropriations (former 'BA' lines).

3.2.4. *Compatibility with the current multiannual financial framework*

- The proposal/initiative is compatible the current multiannual financial framework.
- The proposal/initiative will entail reprogramming of the relevant heading in the multiannual financial framework.

Explain what reprogramming is required, specifying the budget lines concerned and the corresponding amounts.

- The proposal/initiative requires application of the flexibility instrument or revision of the multiannual financial framework.

Explain what is required, specifying the headings and budget lines concerned and the corresponding amounts.

3.2.5. *Third-party contributions*

- The proposal/initiative does not provide for co-financing by third parties.
- The proposal/initiative provides for the co-financing estimated below:

Appropriations in EUR million (to three decimal places)

	Year N	Year N+1	Year N+2	Year N+3	Enter as many years as necessary to show the duration of the impact (see point 1.6)			Total
Specify the co-financing body								
TOTAL appropriations co-financed								

### 3.3. Estimated impact on revenue

- The proposal/initiative has no financial impact on revenue.
- The proposal/initiative has the following financial impact:
  - on own resources
  - on miscellaneous revenue

EUR million (to three decimal places)

Budget revenue line:	Appropriations available for the current financial year	Impact of the proposal/initiative <sup>18</sup>					Enter as many years as necessary to show the duration of the impact (see point 1.6)		
		Year N	Year N+1	Year N+2	Year N+3				
Article .....									

For miscellaneous 'assigned' revenue, specify the budget expenditure line(s) affected.

None

Specify the method for calculating the impact on revenue.

None

<sup>18</sup> As regards traditional own resources (customs duties, sugar levies), the amounts indicated must be net amounts, i.e. gross amounts after deduction of 25 % for collection costs.